



Data Management and Resource Sharing

Rigor & Reproducibility Workshop
27 May 2021

Melissa Eitzen, MT(ASCP), MS, RQAP-GLP
Director, Regulatory Operations
UTMB Institutional Office of Regulated Nonclinical Studies
mmeitzen@utmb.edu

Topics

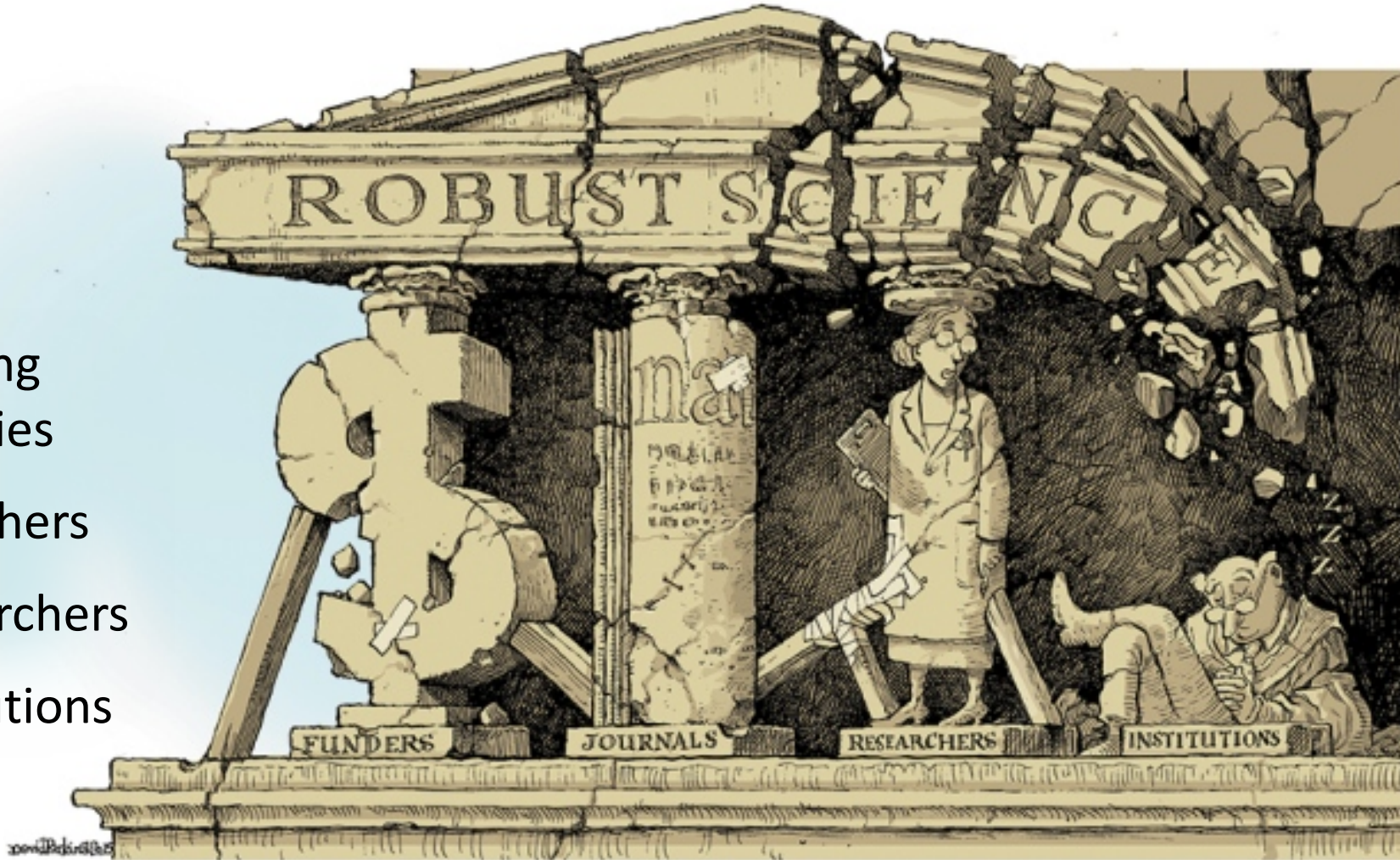
- Principles & Guidelines
- Data Lifecycle
- Data Quality & Integrity
- Case Study—Break out session



References provided on slides

Stakeholders of Robust Science

- Funding agencies
- Publishers
- Researchers
- Institutions



<https://www.nature.com/news/robust-research-institutions-must-do-their-part-for-reproducibility-1.18259>

NIH Public Workshop (2014)

- Funding agencies
- Publishers
- Researchers
- Institutions

- **Sponsors:** NIH + Nature Publishing Group + Science
- **Issue:** Reproducibility, Rigor of research findings
- **Attendees:** Journal editors (>30 basic/preclinical science journals where NIH-funded investigators publish)
- **Goals:** Identify common opportunities in the scientific publishing arena to *enhance rigor and further support research that is reproducible, robust, and transparent*
- **Outcome:** set of principles to facilitate these goals, which a considerable number of journals have agreed to endorse

<https://www.nih.gov/research-training/rigor-reproducibility/principles-guidelines-reporting-preclinical-research>

NIH Principles and Guidelines

- Funding agencies
- Publishers
- Researchers
- Institutions

Principles and Guidelines for Reporting Preclinical Research:

- Rigorous statistical analysis
- Transparency in reporting
- Data and material sharing
- Consider establishing best practice guidelines for:
 - Images
 - Biological materials (antibodies, cell lines, etc.)
 - Animals
- Endorsements (journals, associations, societies)
- Adapted Guidelines

<https://www.nih.gov/research-training/rigor-reproducibility/principles-guidelines-reporting-preclinical-research>

Data and Material Sharing

- Funding agencies
- Publishers
- Researchers
- Institutions

- Require datasets be made available (where ethically appropriate) upon request
 - during manuscript review
 - upon publication
- Recommend datasets in public repositories, where available
- Encourage presentation of all other data values in machine readable format in the paper (or supplementary information)
- Require materials sharing after publication
- Encourage sharing of software
- Require a statement in the manuscript describing if software is available and how it can be obtained

<https://www.nih.gov/research-training/rigor-reproducibility/principles-guidelines-reporting-preclinical-research>

Why is Data Management and Resource Sharing Important?

You Tube

Search



“Everything you need to know is in the article.”

Dr. Judy Benign, an oncologist, requests data from a scientist who recently published his research findings in *Science*.



Data Sharing and Management Snafu in 3 Short Acts



NYU Health Sciences Library

Subscribe 115

57,630 views

<https://www.youtube.com/watch?v=N2zK3sAtr-4>

Guidelines for Transparency & Openness Promotion (TOP)

- Funding agencies
- **Publishers**
- Researchers
- Institutions

TOPGuidelines.pdf (Version: 5)

Download Share View Revisions

Transparency and Openness Promotion (T...
- Google Drive: TOP Sample Implementati...
TOPForFunders - all.gdoc
TOPLevel1Funders.gdoc
TOPLevel1Journals.gdoc
TOPLevel2Funders.gdoc
TOPLevel2Institutes.gdoc
TOPLevel2Journals.gdoc
TOPLevel3Funders.gdoc
TOPLevel3Journals.gdoc
TOPMixedLevelsFunders.gdoc
TOPMixedLevelsJournals.gdoc
TOP Sample Institute Implementatio...
- OSF Storage (United States)

Page: 1 of 24 Automatic Zoom

Guidelines for Transparency and Openness Promotion (TOP) in Journal Policies and Practices

"The TOP Guidelines"

Version 1.0.1

Reproducibility of research can be improved by increasing transparency of the research process and products. This document provides template guidelines to enhance transparency in the science that journals publish. With minor adaptation of the text, funders can adopt these guidelines for research that they fund.

There are eight transparency standards covered by these guidelines. The guidelines are modular so they can be adopted singly or collectively:

1. [Citation](#)
2. [Data transparency](#)
3. [Analytic methods \(code\) transparency](#)
4. [Research materials transparency](#)
5. [Design and analysis transparency](#)
6. [Preregistration of studies](#)
7. [Preregistration of analysis plans](#)
8. [Replication](#)

➤ Adapted Guidelines

https://osf.io/ud578/?_ga=1.211230620.829898984.1435325845


TOP Guidelines— Data Transparency

- Funding agencies
- **Publishers**
- Researchers
- Institutions

- Disclosure—are your data available?
- Share your data (exception: legal/ethical restraints)
- Can results be replicated using your data (pre-publication)

Data Transparency— Example

- Funding agencies
- Publishers
- Researchers
- Institutions

 nature COMMUNICATIONS

Article | OPEN | Published: 08 April 2019

An artificial triazole backbone linkage provides a split-and-click strategy to bioactive chemically modified CRISPR sgRNA

Lapatrada Taemaitree, Arun Shivalingam, Afaf H. El-Sagheer & Tom Brown

Nature Communications 10, Article number: 1610 (2019) | Download Citation

Abstract

As the applications of CRISPR-Cas9 technology diversify and spread beyond the laboratory to diagnostic and therapeutic use, the demands of gRNA synthesis have increased and access to tailored gRNAs is now restrictive. Enzymatic routes are time-consuming, difficult to scale-up and suffer from polymerase-bias while existing chemical routes are inefficient. Here, we describe a split-and-click convergent chemical route to individual or pools of sgRNAs. The synthetic burden is reduced by splitting the sgRNA into a variable DNA/genome-targeting 20-mer, produced on-demand and in high purity, and a fixed Cas9-binding chemically-modified 79-mer, produced cost-effectively on large-scale, a strategy that provides access to site-specific modifications that enhance sgRNA activity and in vivo stability. Click ligation of the two components generates an artificial triazole linkage that is tolerated in functionally critical regions of the sgRNA and allows efficient DNA

Data availability

Sequencing data that support the findings of this study have been deposited in the NCBI Sequencing Read Archive with the accession code PRJNA512007. The source data underlying Figs. 1B–D, 2C–E, 3B–C, 4A–C; Supplementary Figs. 1, 2, 3B–C, 4, 5, 6, 7, 8, 9, 10; Supplementary Tables 1, 3, 4, 5, 6 and Supplementary Data 1 and 2 are provided in the Source Data file. All data for gels, graphs and mass spectrometry are provided as a Source Data file.

Code availability

Post-CIRCLE-seq data plotting code is available upon request.

Resource Sharing—NIH

- Funding agencies
- Publishers
- Researchers
- Institutions

NIH considers the sharing of unique research resources developed through NIH-sponsored research an important means to enhance the value and further the advancement of research.

When resources have been developed with NIH funds and the associated research findings published or provided to NIH, it is important that the results be made readily available for research purposes to qualified individuals within the scientific community.

https://grants.nih.gov/grants/peer/guidelines_general/Resource_sharing_plans.pdf

Resource Sharing—NIH

- Funding agencies
- Publishers
- Researchers
- Institutions

- Samples
- Reagents
- Model organism (e.g., transgenic mouse strain)
- Data

Data—Definition

Definition of Data

Data means recorded information, regardless of form or the media on which it may be recorded. The term includes computer software (computer programs, computer databases, and documentation thereof), and records of scientific or technical nature. The term does not include information incidental to award administration, such as financial, administrative, cost or pricing, or management information. In practice, scientific data include both intangible data (statistics, findings, conclusions, etc.) and tangible data. Tangible data include, but are not limited to notebooks, printouts, electronic storage, photographs, slides, negatives, films, scans, images, autoradiograms, electrophysiological recordings, gels, blots, spectra, cell lines, reagents, modified organisms, specimens, IRB consent forms, case report forms, drilling cores, collected organisms, and other materials that are relevant to the research project.

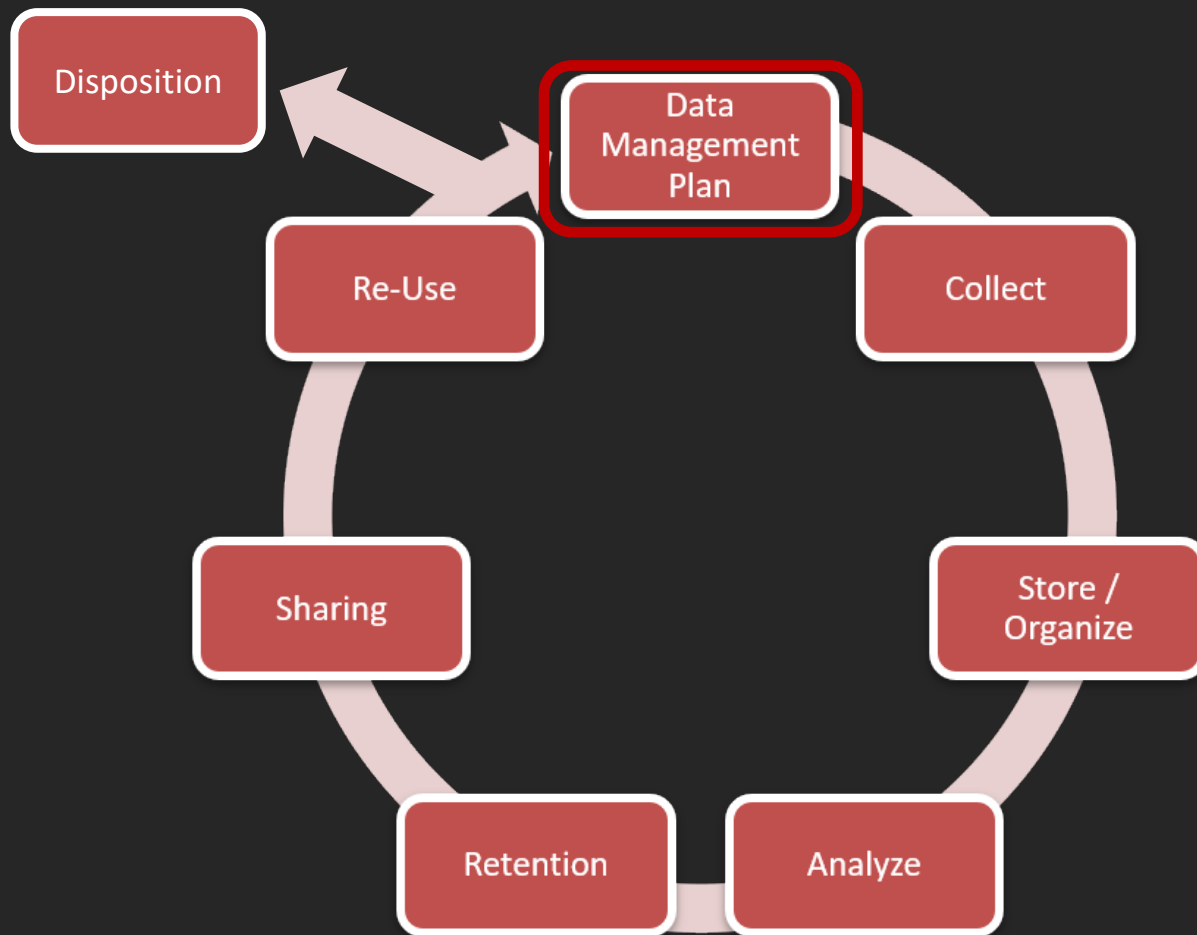
Where Do We Begin?



Topics

- Principles & Guidelines
- **Data Lifecycle**
- Data Quality & Integrity
- Case Study

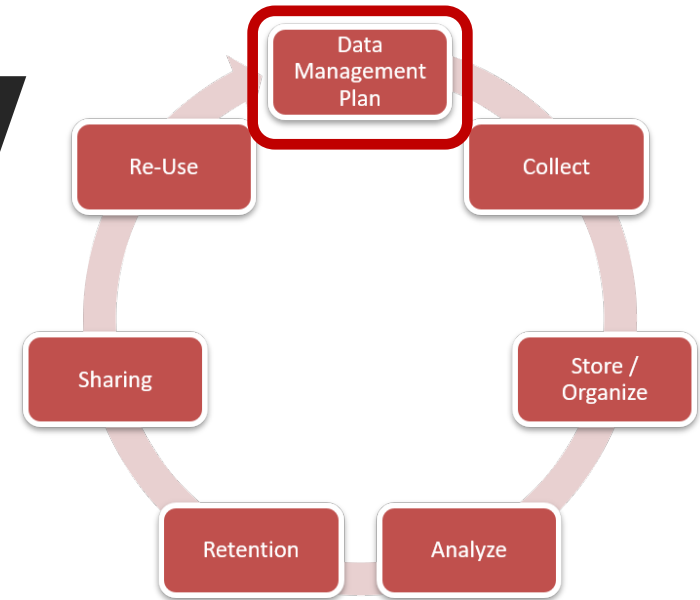
Data Lifecycle



Data Management

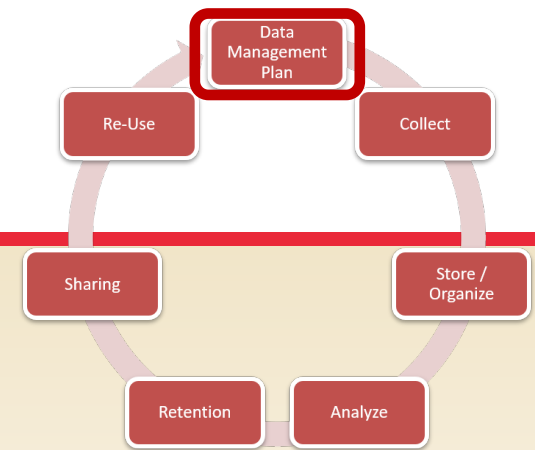
Definition...

“Research data management is a term that describes the organization, storage, preservation, and sharing of data collected and used in a research project.”

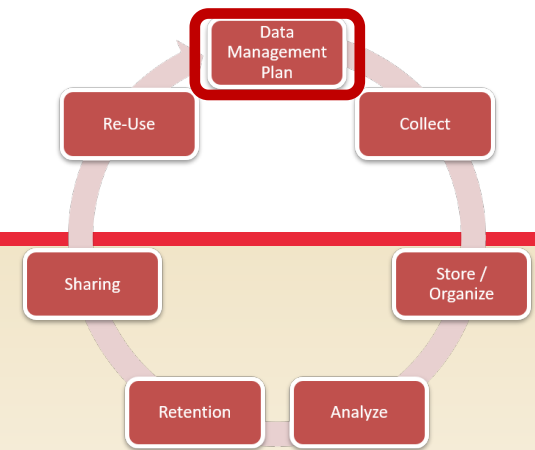


Data Management

- Data is (are) a scholarly product
- Data are fragile and easily lost
- Growing research data requirements
- Good management helps prevent errors and increases the quality of your analysis
- Well-managed and accessible data allows others to validate and replicate findings
- Research data management facilitates sharing of research data and, when shared, data can lead to valuable discoveries by others outside of the original research team



Data Management Plan

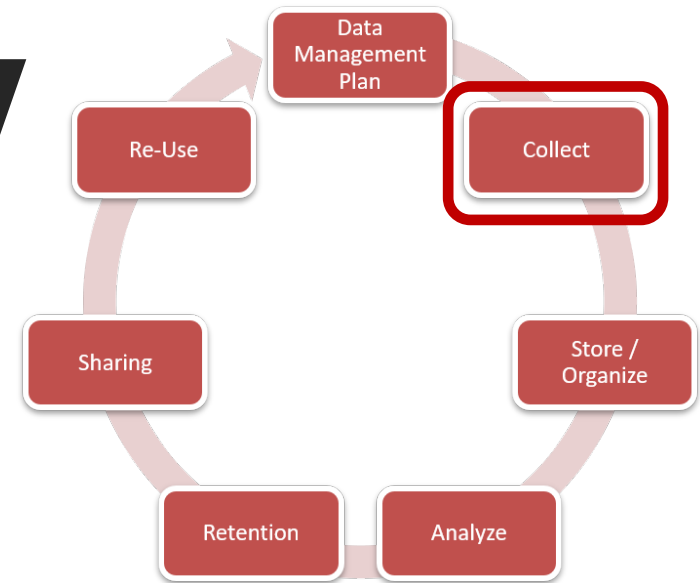


- Data Description / Types
- Data Standards for Format and Content
- Mechanisms for Access and Sharing (Provisions, Privacy Protection, Confidentiality, Security, Intellectual Property, etc.)
- Provisions for Data Reuse and Redistribution

Data Collection

Perform an inventory...

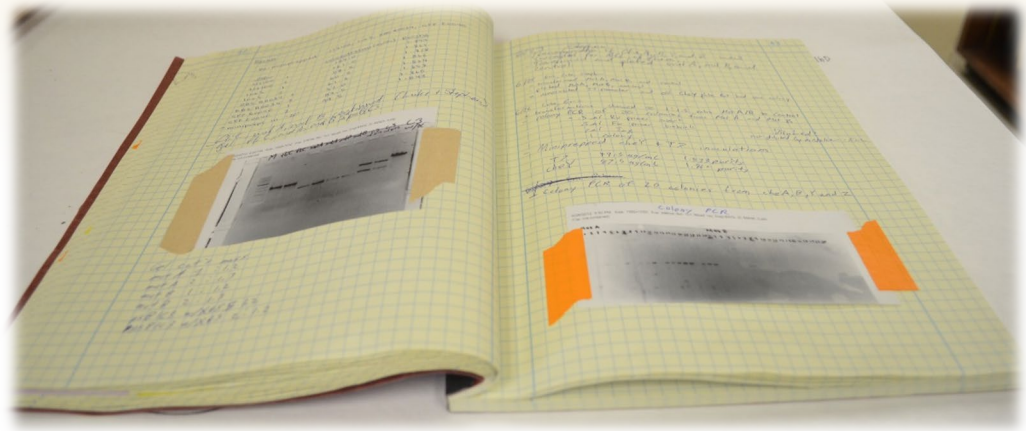
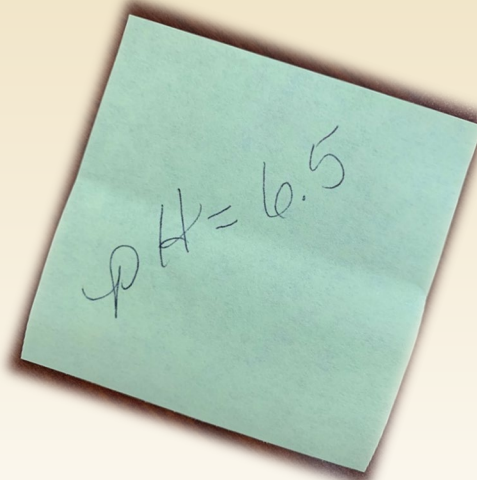
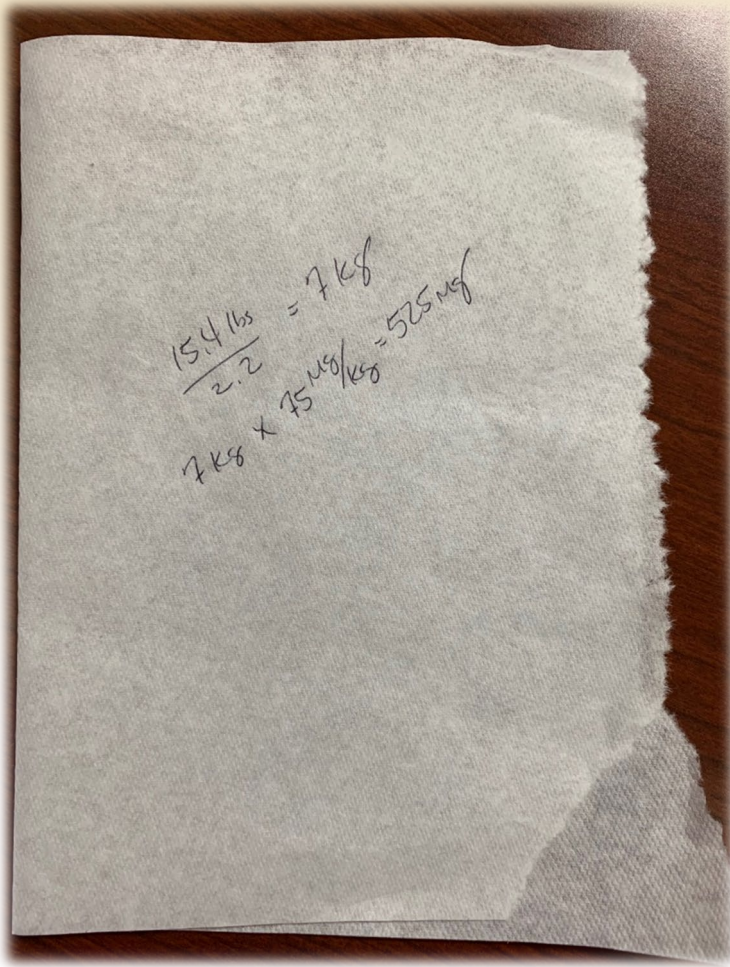
- Source (Raw) Data
- Types (observational, derived, etc.)
- Format (text, numeric, modeling, images, etc.)
- Quantity
- Regulatory (e.g., HIPAA)
- Proprietary
- Owner



Source Data (Original)

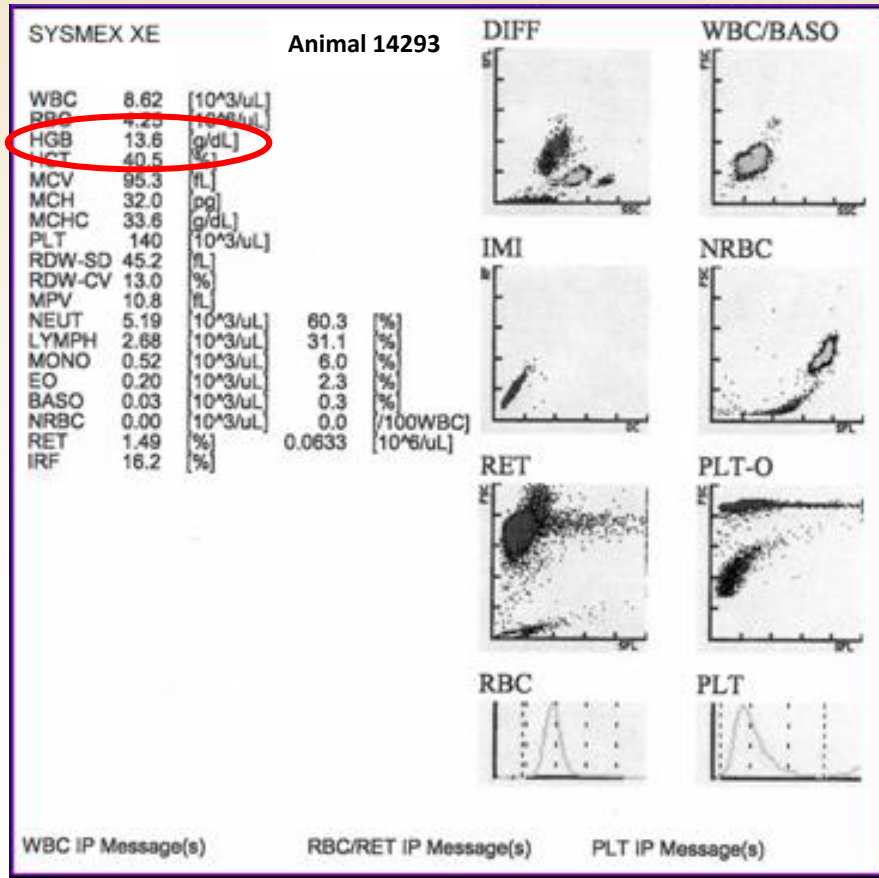


Source Data / Transcription



Transcription Errors

Hemoglobin Value



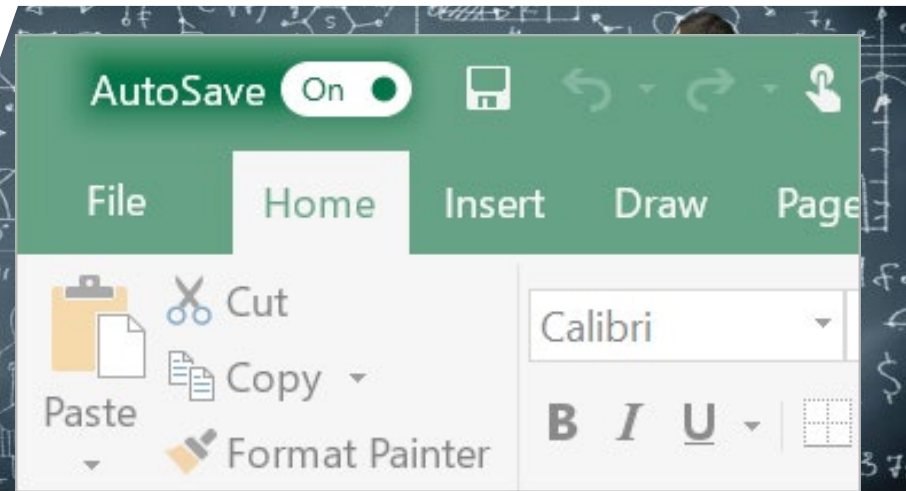
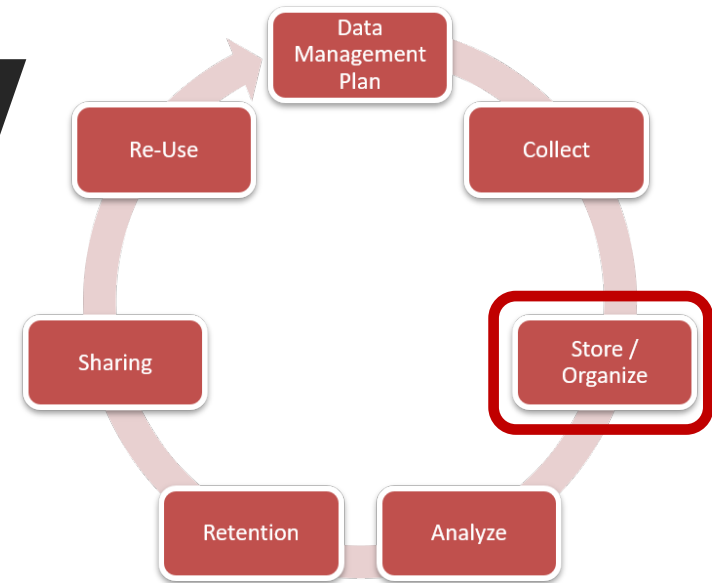
Animal	HGB
12938	12.2
14039	8.9
14293	3.6
14980	13.8
15209	12.5
15490	9.5
15560	14.0

Source: Google Images

Organization and Storage

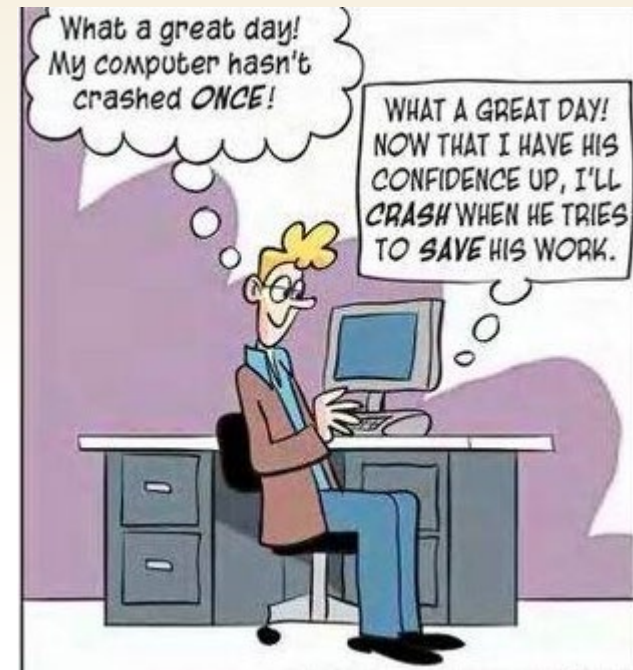
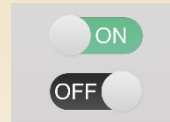
Things to think about prospectively...

- Location (physical / electronic)
- Transcription of source data
- Accessibility (limited)
- Security
- Change control
- Protection



Managing Electronic Data

- Audit Trails / Meta Data
- Security / Encryption
- Software Compatibility
- Back-up
- Program Updates
 - Automatic
 - Impact to significant digits
- Data Migration
- Checksums

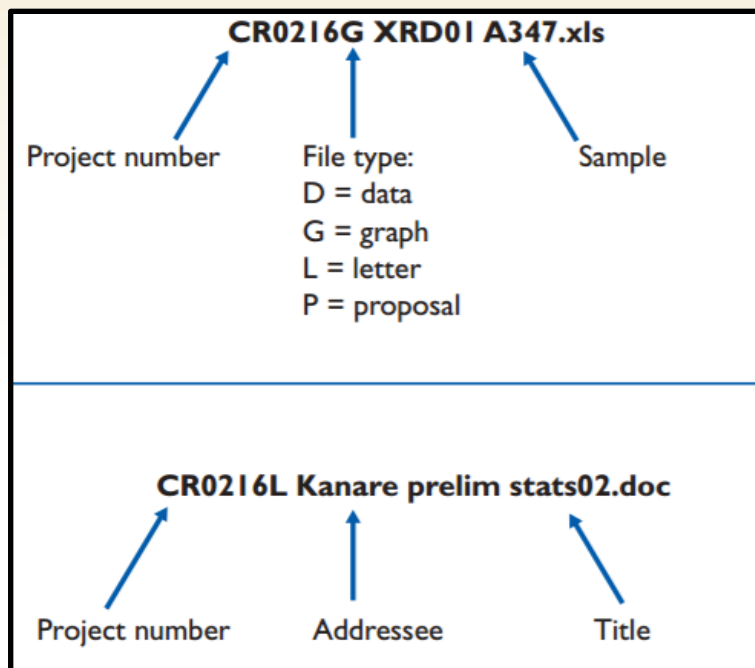


Electronic Documents / Files

Get organized!

Standard File Naming System

- Brief, descriptive, consistent, dated
- Plans for edits and changes



Documents library
Example.Study2018.031.0002

Name

- 1. Study Plan
- 2. Compliance Approvals
- 3. Project Management
- 4. Study Form Templates
- 5. Communication
- 6. Source Data
- 7. Data Tables
- 8. Statistics
- 9. Contributing Reports
- 10. Summary Report

Data Analysis (Data Manipulation)

Prospective thinking...

- Methods to reduce transcription errors
- Define inclusion / exclusion criteria
- Develop statistical plan (study plan)
- Retain *methods* to allow for study reconstruction

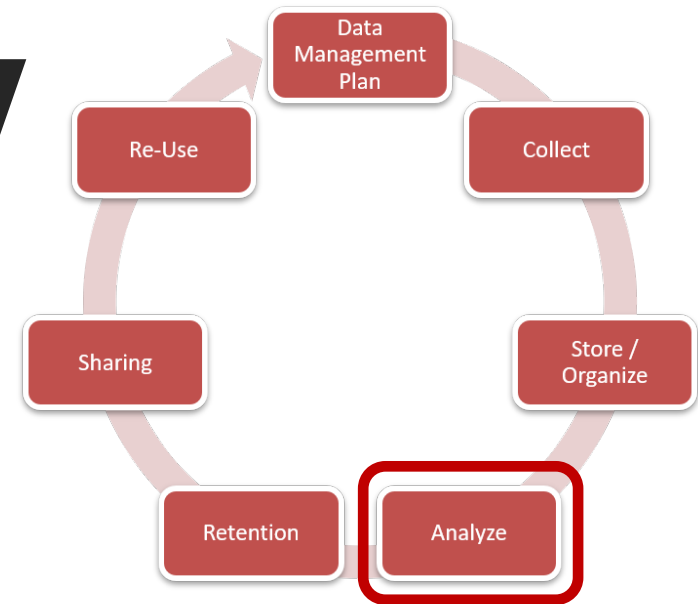


Image Manipulation

FIGURE 1. COMET ASSAY

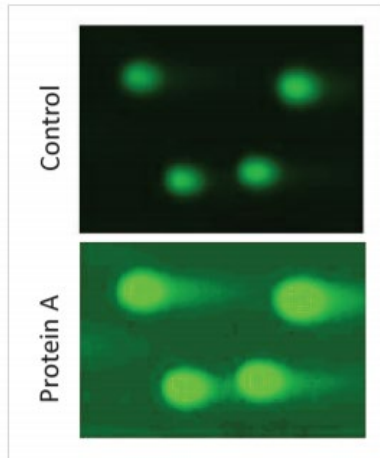


FIGURE 2. IMMUNOFLUORESCENCE COLOCALIZATION ASSAY

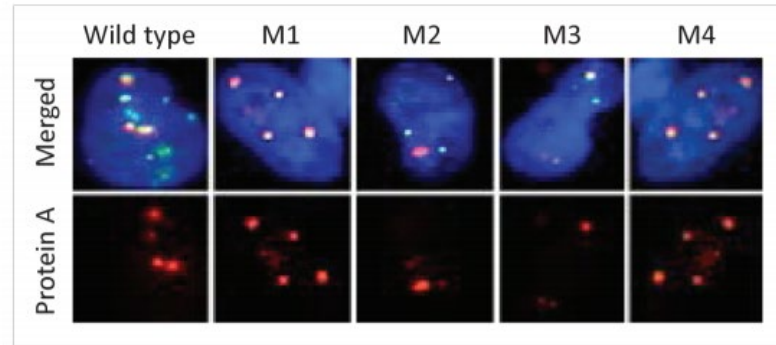


FIGURE 4. GEL SHIFT ASSAY

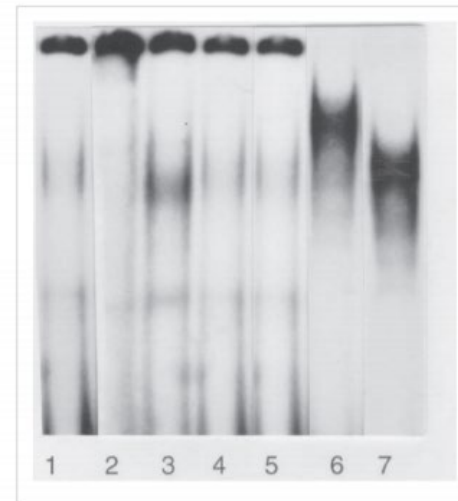


FIGURE 3. WESTERN BLOT

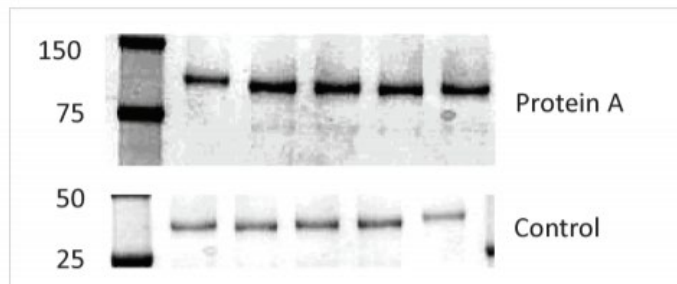


Image Manipulation

DISCUSSION

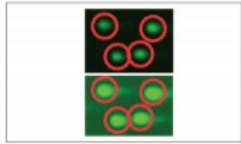


FIGURE 1. COMET ASSAY

The control image was cropped and relabeled as the image for Protein A. It was also intentionally lightened to make the "tails" appear longer.

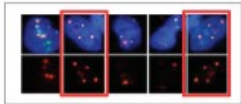


FIGURE 2. IMMUNOFLUORESCENCE COLOCALIZATION ASSAY

M1 and M4 are the same image but flipped vertically.



FIGURE 3. WESTERN BLOT

The top panel and bottom panel of Figure 3 are from the same source image. The Protein A blot image has been flipped horizontally and represented as the control blot image.

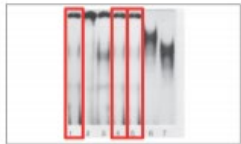


FIGURE 4. GEL SHIFT ASSAY

Lanes 1, 4, and 5 are from the same image source and were relabeled and reused to represent different experimental conditions.

FIGURE 1. COMET ASSAY

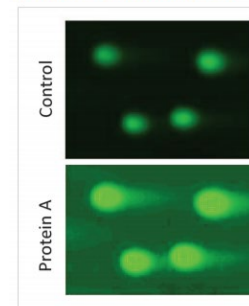


FIGURE 2. IMMUNOFLUORESCENCE COLOCALIZATION ASSAY

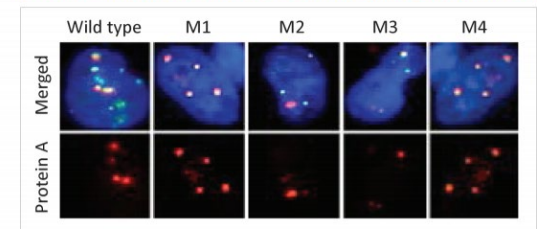


FIGURE 4. GEL SHIFT ASSAY

FIGURE 3. WESTERN BLOT

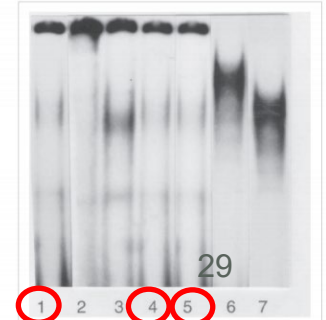
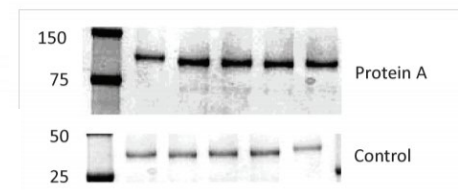
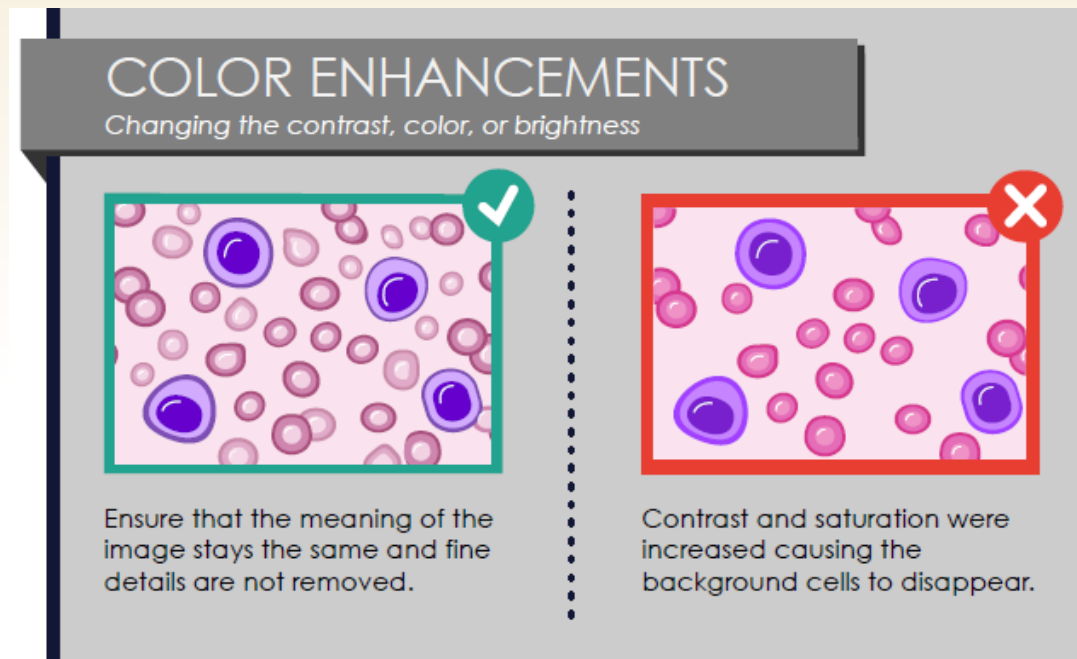


Image Manipulation

- Document all changes
- Retain unprocessed image
- Follow journal guidelines for permissible processing

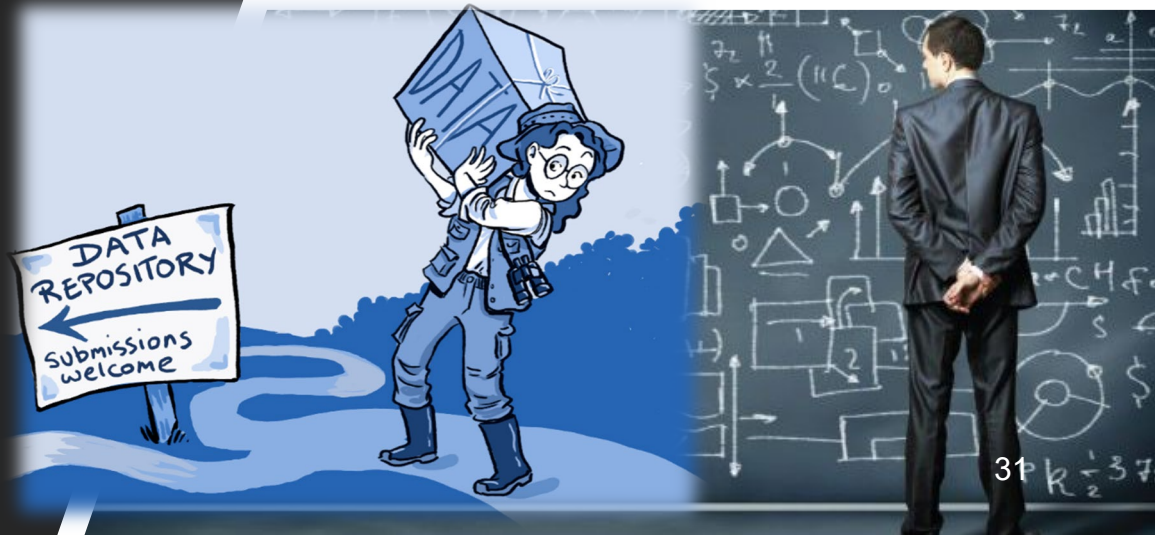
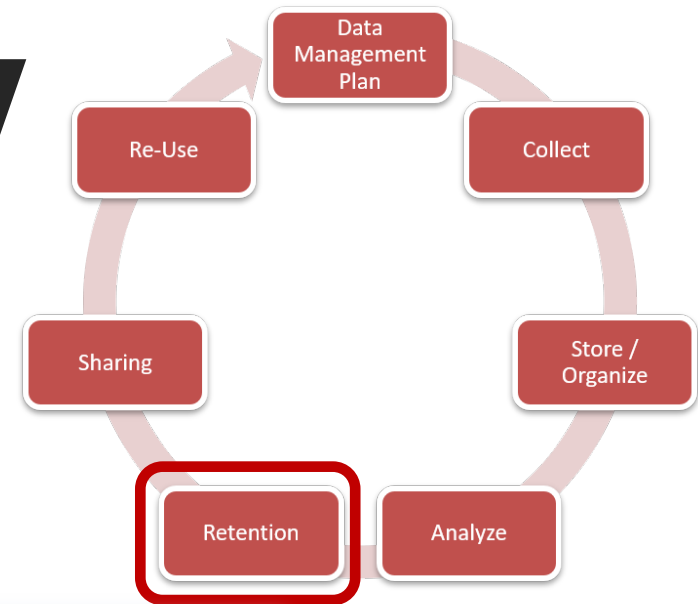


https://ori.hhs.gov/sites/default/files/2017-12/6_Image_Manipulation_scalable.pdf

Retention

Protect the data!

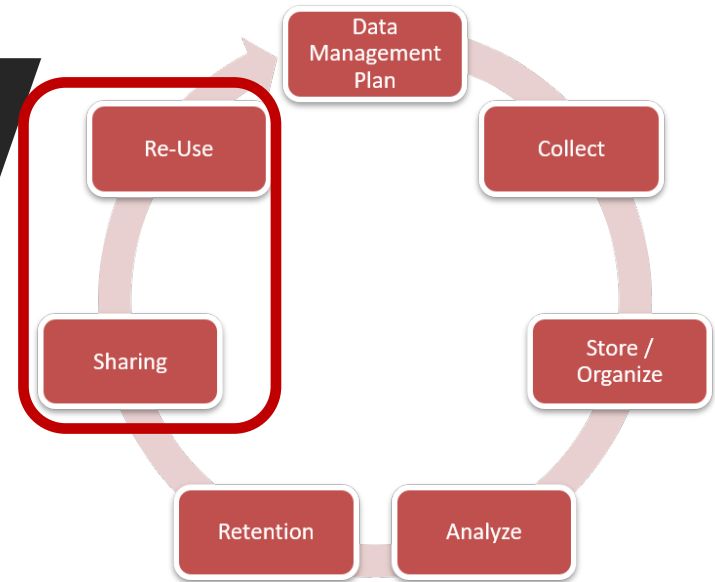
- Location
- Accessibility
- Security (encryption)
- Back-up
- System sustainability
- Data migration



Mechanisms / Conditions for Sharing

Define conditions...

- Email
- Online repositories
- Supplemental to publication
- Sharing agreements / plans
- Mixed media
- Conditions / Exclusions
- Instructions



Data Sharing Plan—Template

➤ What?

➤ Who?

➤ Where?

➤ When?

➤ How?

Example Plan addressing Key Elements for a Data Sharing Plan under NIH Extramural Support
(For questions, contact the NIH Office of Extramural Research (OER), Email Sharing@nih.gov)

Example Data Sharing Plan for FOA-XX-XXXX

What data that will be shared:

I will share phenotypic data associated with the collected samples by depositing these data at _____ which is an NIH-funded repository. Genotype data will be shared by depositing these data at _____. Additional data documentation and de-identified data will be deposited for sharing along with phenotypic data, which includes demographics, family history of XXXXXX disease, and diagnosis, consistent with applicable laws and regulations. I will comply with the NIH GWAS Policy and the funding IC's existing policies on sharing data on XXXXXX disease genetics to include secondary analysis of data resulting from a genome wide association study through the repository. Meta-analysis data and associated phenotypic data, along with data content, format, and organization, will be available at _____. Submitted data will conform with relevant data and terminology standards.

Who will have access to the data:

I agree that data will be deposited and made available through _____ which is an NIH-funded repository, and that these data will be shared with investigators working under an institution with a Federal Wide Assurance (FWA) and could be used for secondary study purposes such as finding genes that contribute to process of XXXXXX. I agree that the names and Institutions of persons either given or denied access to the data, and the bases for such decisions, will be summarized in the annual progress report. Meta-analysis data and associated phenotypic data, along with data content, format, and organization, will be made available to investigators through _____.

Where will the data be available:

I agree to deposit and maintain the phenotypic data, and secondary analysis of data (if any) at _____, which is an NIH-funded repository and that the repository has data access policies and procedures consistent with NIH data sharing policies.

When will the data be shared:

I agree to deposit genetic outcome data into _____ repository as soon as possible but no later than within one year of the completion of the funded project period for the parent award or upon acceptance of the data for publication, or public disclosure of a submitted patent application, whichever is earlier.

How will researchers locate and access the data:

I agree that I will identify where the data will be available and how to access the data in any publications and presentations that I author or co-author about these data, as well as acknowledge the repository and funding source in any publications and presentations. As I will be using _____, which is an NIH-funded repository, this repository has policies and procedures in place that will provide data access to qualified researchers, fully consistent with NIH data sharing policies and applicable laws and regulations.

Rev. 20100831

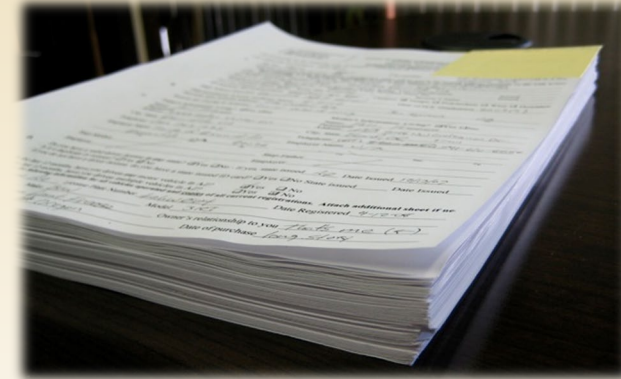
Topics

- Principles & Guidelines
- Data Lifecycle
- **Data Quality & Integrity**
- Case Study

Data Quality: ALCOA Principles

Data Quality

- Attributable
- Legible
- Contemporaneous
- Original
- Accurate



Data Integrity

- Complete, Consistent, Enduring, Readily Available

Data Quality: Exercise



- Attributable
- Legible
- Contemporaneous
- Original
- Accurate

Data Quality: Exercise



- Month / Day / Year
- Day / Month / Year
- Year / Month / Day



Electronic Laboratory Notebooks

Pros

- Project organization
- Collaboration
- Custom forms/fields to assure all data are captured
- Searchable
- Audit trail
- Data exportable

Cons

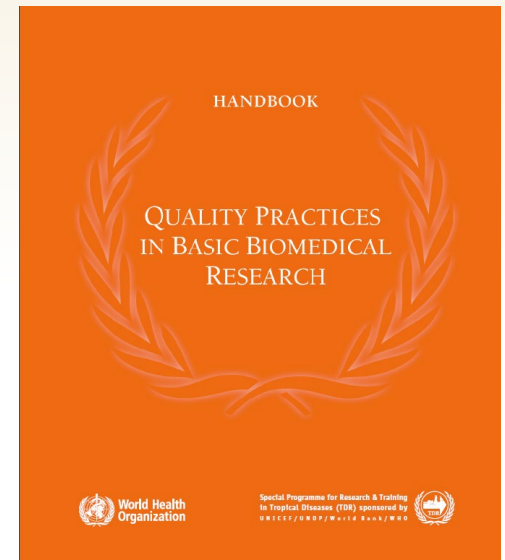
- Cost
- Sustainability (\$)
- System administration
- Compatibility with other systems
- Software updates/data migration verification
- Discontinued (or support discontinued)

Reconstructability

Documentation:

- Full record of all information
- Enables study reconstruction
- Demonstrates what occurred at the time

“Without documentation the process is meaningless; essentially there has been no study.”



https://www.who.int/tdr/publications/documents/quality_practices.pdf?ua=1

REPRISE: Data—Definition

Definition of Data

Data means recorded information, regardless of form or the media on which it may be recorded. The term includes computer software (computer programs, computer databases, and documentation thereof), and records of scientific or technical nature. The term does not include information incidental to award administration, such as financial, administrative, cost or pricing, or management information. In practice, scientific data include both intangible data (statistics, findings, conclusions, etc.) and tangible data. Tangible data include, but are not limited to notebooks, printouts, electronic storage, photographs, slides, negatives, films, scans, images, autoradiograms, electrophysiological recordings, gels, blots, spectra, cell lines, reagents, modified organisms, specimens, IRB consent forms, case report forms, drilling cores, collected organisms, and other materials that are relevant to the research project.

Reconstructability

- 53 landmark studies
- 6 confirmed (11%)
 - Controls
 - Reagents
 - Investigator bias
 - **Described complete data set**

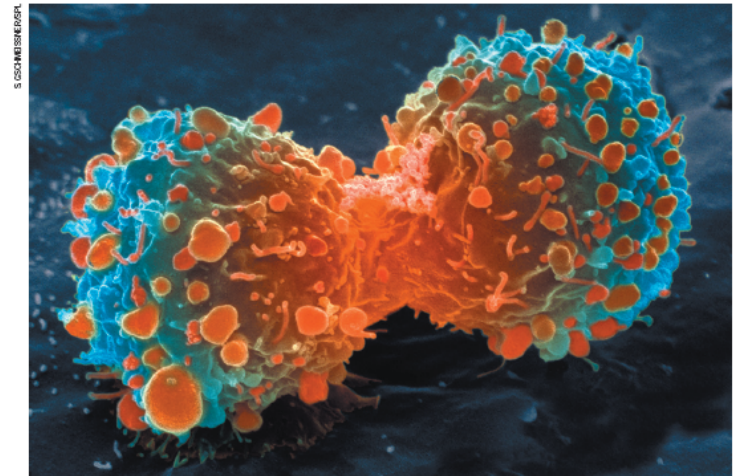
COMMENT

AVIAN INFLUENZA Shift expertise to track mutations where they emerge **p.534**

EARTH SYSTEMS Past climates give valuable clues to future warming **p.537**

HISTORY OF SCIENCE Descartes' lost letter tracked using Google **p.540**

OBITUARY Wylie Vale and an elusive stress hormone **p.542**



Many landmark findings in preclinical oncology research are not reproducible, in part because of inadequate cell lines and animal models.

Raise standards for preclinical cancer research

C. Glenn Begley and Lee M. Ellis propose how methods, publications and incentives must change if patients are to benefit.

Efforts over the past decade to characterize the genetic alterations in human cancers have led to a better understanding of molecular drivers of this complex set of diseases. Although we in the cancer field hoped that this would lead to more effective drugs, historically, our ability to translate cancer research to clinical success has been remarkably low¹. Sadly, clinical

trials in oncology have the highest failure rate compared with other therapeutic areas. Given the high unmet need in oncology, it is understandable that barriers to clinical development may be lower than for other disease areas, and a large number of drugs with suboptimal preclinical validation will enter oncology trials. However, this low success rate is not sustainable or acceptable, and

investigators must reassess their approach to translating discovery research into greater clinical success and impact.

Many factors are responsible for the high failure rate, notwithstanding the inherently difficult nature of this disease. Certainly, the limitations of preclinical tools such as inadequate cancer-cell-line and mouse models² make it difficult for even ▶

Reconstructability—Communication

I am going to miss the March 3rd Friday call in...

March 2019						
Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						



Closing Thoughts...



Where to start...

- Get organized!
- Data stewardship throughout the data lifecycle
- Data management plan
- Prospectively plan
- Implement the ALCOA principles



Topics

- Principles & Guidelines
- Data Lifecycle
- Data Quality & Integrity
- **Case Study**

Case Study—Data Sharing

 **Take note of Room Number !**

Your research study will include data from approximately 500 subjects being screened for three bacterial sexually transmitted diseases (STDs) at an inner-city STD clinic. The final dataset will include self-reported demographic and behavioral data from interviews with the subjects and laboratory data from urine specimens provided. Because the STDs being studied are reportable diseases, you will be collecting identifying information.

Even though the final dataset will be stripped of identifiers prior to release for sharing, there remains the possibility of deductive disclosure of subjects with unusual characteristics.

Identify options (i.e., conditions) for sharing the data.

Case Study—Data Sharing

The proposed research will include data from approximately 500 subjects being screened for three bacterial sexually transmitted diseases (STDs) at an inner-city STD clinic. The final dataset will include self-reported demographic and behavioral data from interviews with the subjects and laboratory data from urine specimens provided. Because the STDs being studied are reportable diseases, we will be collecting identifying information. Even though the final dataset will be stripped of identifiers prior to release for sharing, we believe that there remains the possibility of deductive disclosure of subjects with unusual characteristics.

Thus, we will make the data and associated documentation available to users only under a *data-sharing agreement* that provides for:

- (1) a commitment to using the data only for research purposes and not to identify any individual participant;
- (2) a commitment to securing the data using appropriate computer technology; and
- (3) a commitment to destroying or returning the data after analyses are completed.

https://grants.nih.gov/grants/policy/data_sharing/data_sharing_guidance.htm#ex



Photo: Suzanne & Walter Scott Chihuly Sanctuary, Univ. of Nebraska Medical Center

Thank you!

Melissa Eitzen, MT(ASCP), MS, RQAP-GLP

Director, Regulatory Operations

Institutional Office of Regulated Nonclinical Studies (ORNcS)

University of Texas Medical Branch at Galveston

2.810 Rebecca Sealy, Mail Stop 0184

301 University Boulevard

Galveston, TX 77555-0184

Phone: (409) 266-9422

Email: mmeitzen@utmb.edu

Web: <http://www.utmb.edu/orncs>

Reference: Laboratory Notebooks

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CHAPTER NO. 8.2

How to Start—and Keep—a Laboratory Notebook: Policy and Practical Guidelines

Jennifer A. Thomson, Professor, Department of Molecular and Cell Biology, University of Cape Town, South Africa

Editor's Summary, Implications and Best Practices

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Abstract

A laboratory notebook is an important tool that goes well beyond research management and can have important implications for issues ranging from intellectual property management to the prevention of fraud. This chapter discusses the key elements of a laboratory notebook, types of notebooks, what should be included in the notebook, ownership issues, archiving, and security. The chapter provides sample notebook pages that illustrate some of the recommended practices.

1. What is a Laboratory Notebook?

Although you may think you will remember what you did and why you did a certain experiment in a week's time, YOU WILL NOT! And nor will anyone else in your laboratory. Hence the need for laboratory notebooks. In short, a laboratory notebooks is:

- a daily record of every experiment you do, think of doing, or plan to do

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